

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Joel Kauffman *et al.*

Serial No.: 10/565,414

Filed: January 23, 2006

For: COMPOUNDS THAT ABSORB  
ULTRAVIOLET LIGHT, METHODS OF  
THEIR PREPARATION AND OPTICAL  
LENSES CONTAINING THEM

Group Art Unit: 1616

Examiner: Unknown

Atty. Dkt. No.: ESSR:103US

Confirmation No.: 6229

**CERTIFICATE OF ELECTRONIC SUBMISSION**

DATE OF SUBMISSION: January 22, 2007

**INFORMATION DISCLOSURE STATEMENT**

**MS AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

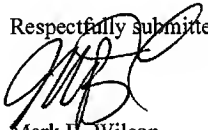
In accordance with 37 C.F.R. § 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. § 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/ESSR:103US.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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Date: January 22, 2007

Form PTO-1449 (modified)		Atty. Docket No. ESSR:103US	Serial No. 10/565,414
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicant Joel Kauffman <i>et al.</i>	
		Filing Date: January 23, 2006	Group: 1616
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,298,189	03/29/94	Kauffman	252	301.17	04/24/92
	A2	5,587,112	12/24/96	Kauffman <i>et al.</i>	252	589	09/02/94

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Language

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Preston <i>et al.</i> , "Heterocyclic intermediates for the preparation of thermally stable polymers. II. Benzoxazoles and Benzothiazoles," <i>Journal of Heterocyclic Chemistry</i> , 5:269-273, 1968.
	C2	Reiser <i>et al.</i> , "Fluorescence of aromatic benzoxazole derivatives," <i>Journal of the American Chemical Society</i> , 94:2414-2421, 1972.

25731000.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)